



## RBC Fee Schedule

Account Maintenance Fees	
Inactivity Fee	\$60.00
Annual Account Fee	\$75.00
Retirement Account Fee	
IRA Annual Maintenance Fee	\$35.00
Wire Fee (No 3rd Party Wires)	\$25.00
Overnight Checks	\$15.00
Check Stop Payment	\$20.00
NSF Fee/Returned Checks	\$35.00
Reorganization Activities	
Voluntary - Physical Reorganization	\$50.00
Mandatory - Non-Physical Reorganization	\$40.00
TOD Set-Up and Beneficiary Change Fee	\$25.00
Transfers	
Legal/GNMA Transfer	\$110.00
Outgoing Account Transfer	
Retail/Non-Qualified Account	\$275.00
IRA/Qualified Account	\$120.00
Mailing Fees	
Duplicate Statements (RBC Only)	\$3.25
Confirmation Fee	\$5.00
Duplicate Confirms (RBC Only)	\$3.25
Prospectus Mailing	Cost of mailing
Limited Partnerships, Private Placements or Alternative Investments Administration Fee (per position)	
Alternative Investment Annual Fee	\$100.00
Processing Fee per subscription, redemption and re-registration, investor networking	\$165.00

### Margin Rates (See RBC Interest Rates on Infoworks for changes and updates)

Clearing Firm	RBC	Net Debit Balance	Base Lending Rate	Margin Spread	Total Margin Rate
		\$0 to \$24,999	6.75%	2.75%	9.50%
\$25,000 to \$49,999	6.75%	2.20%	9.00%		
\$50,000 to \$74,000	6.75%	1.75%	8.50%		
\$75,000 to \$99,999	6.75%	1.375%	8.125%		
\$100,000 to 249,000	6.75%	1.125%	7.875%		
\$250,000+	6.75%	1.00%	7.750%		

## Concorde Investment Services

### General Securities Commission Schedule - Commission Based Account

#### Equities

<u>Principal \$ Amount of Trade</u>	<u>Maximum Commission*</u>
\$0 – 2,499	\$0 + 4.50%
\$2,500 – 4,999	\$10 + 4.00%
\$5,000 – 9,999	\$20 + 3.25%
\$10,000 – 24,999	\$60 + 2.50%
\$25,000 – 49,999	\$90 + 1.75%
\$50,000 – 99,999	\$120 + 1.50 %
\$100,000+	\$180 + .95%

\*Minimum commission on any equity trade is \$30.00

#### Options

<u>Principal \$ Amount of Trade</u>	<u>Maximum Commission*</u>
\$0 – 2,499	\$40 + 2.5%
\$2,500 – 4,999	\$70 + 2.1%
\$5,000 – 9,999	\$100 + 1.7%
\$10,000 – 19,999	\$120 + 1.2%
\$20,000 – 29,999	\$150 + 1.1%
\$30,000 +	\$120 + 0.9 %

\*Minimum commission on any equity trade is \$30.00

#### Mutual Funds

<u>Trade Type</u>	<u>Maximum Commission**</u>
Loaded Fund Purchase	Set by Fund Family
No-Load Fund Purchase	3% of Principal \$ Amount of Trade
NAV Purchase	\$22.00
Mutual Fund Liquidations	\$22.00
Mutual Fund Exchange	\$0.00

\*\*Figures are subject to change without advanced notice to client

#### Fixed Income

<u>Trade Type</u>	<u>Maximum Commission***</u>
Primary Issue Bond Purchase	Set by Issuer
Secondary Issue Bond Purchase	Lesser of Principal x Maturity (# of years) x ½% or Principal x 2.5%
Bond Liquidation	Lesser of Principal x Maturity (# of years) x ½% or Principal x 2.5%
CD Purchase	Set by Issuer
CD Liquidation	Lesser of Principal x Maturity (# of years) x ½% or Principal x 2.5%
UIT Purchase	Set by Issuer
UIT Liquidation	\$0.00

\*\*\*Minimum commission on any fixed income trade which is not set by the issuer is \$40.00