

## SEC RULE 606 (ORDER ROUTING DISCLOSURE)

Upon written request to Concorde Investment Services, LLC, you may obtain the identity of the venue to which your orders were routed for six months prior to your request, whether the orders were directed, and the time of the transactions that may have resulted from such orders.

Our clearing firms, Pershing LLC ("Pershing") and RBC Correspondent Services ("RBC"), have provided the data concerning the routing of order flow (the "Data") on this website. Although Pershing and RBC have used commercially reasonable efforts to provide accurate Data, the Data is provided to you on an "as is" basis.

Please note that disclosure information will be available only to customers of those firms that are required to display their Data. If you would like to enter the site now, please click on the following links:

**Pershing:** <https://www.orderroutingdisclosure.com/>

**RBC:** <https://www.rbcwm-usa.com/legal/rbc-cs/cid-360855.html>

## STRATEGIC PARTNER DISCLOSURE

Although we provide many investment choices to our independent registered representatives, we have chosen to concentrate our marketing efforts on a select group of companies, Strategic Partners. In order to form a strategic relationship with Concorde Investment Services, the Strategic Partner must meet several criteria, such as: unique and competitive products, excellent customer service, and availability of training and sales support to our field force.

Investment Services offers opportunities to our Strategic Partners for marketing and training purposes. As a result, our Strategic Partners pay additional amounts to Concorde Investment Services. However, you do not pay more to purchase the investments of our Strategic Partners, nor does your registered representative receive any additional compensation.

## 2021 STRATEGIC PARTNERS

APX ENERGY	HORIZON INVESTMENTS
BLUEROCK	INLAND PRIVATE CAPITAL CORPORATION
BOURNE FINANCIAL GROUP	LEITBOX
CANTOR FITZGERALD	MOODY
CARTER EXCHANGE	NEXTPPOINT
CNB	PASSCO
COTTONWOOD COMMUNITIES	PEACHTREE HOTEL GROUP
EXCHANGE RIGHT	RK PROPERTIES
FOUR SPRINGS	SEALY
GRIFFIN	STARBOARD
HAMILTON POINT INVESTMENTS	TIME EQUITIES